

i. Basic details of the SB such as registration number, registered address of Head Office and branches if any -

Stock Broker Name	Registration Number	Registered Address	Branch Address (if any)	Contact Number	Email id
Vimal & Sons	INZ000270222	Ground Floor, Shop no.1, Harmony House, Plot No.135/1A, 797/1 Bhandarkar Institute Road, Pune - 411004	-	020 69024470 / 71 /72 /73	ganeshshipate@vimalandsons.com neelakshik@vimalandsons.com yashodhankhare@vimalandsons.com

Escalation Matrix:

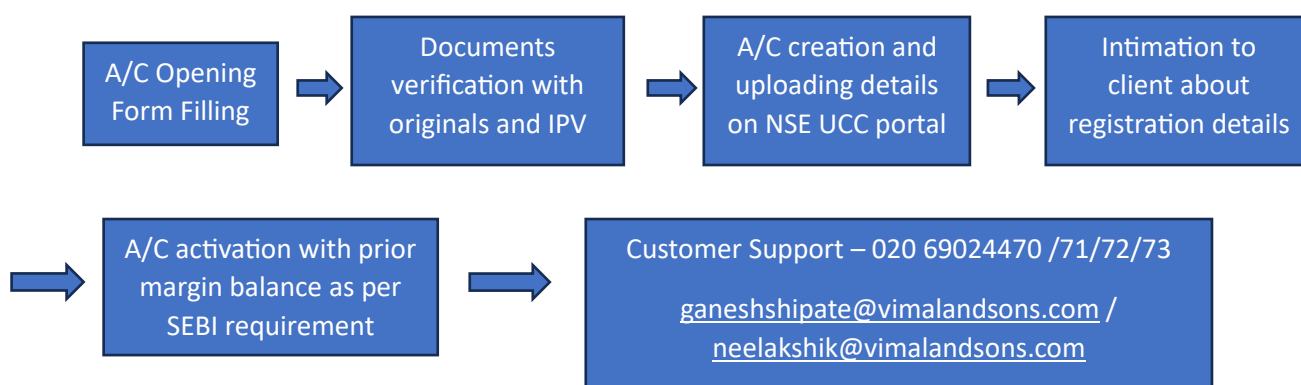
Details of	Contact Person	Address	Contact No.	Email Id
Customer care	Swapneel Mohol	Ground Floor, Shop no.1, Harmony House, Plot No.135/1A, 797/1 Bhandarkar Institute Road, Pune - 411004	020 69024470 / 71 / 72 / 73	investorgrievances@vimalandsons.com
Head of Customer care	Swapneel Mohol	Ground Floor, Shop no.1, Harmony House, Plot No.135/1A, 797/1 Bhandarkar Institute Road, Pune - 411004	020 69024470 / 71 / 72 / 73	swapneelmohol@vimalandsons.com
Compliance Officer	Neelakshi Kshemkalyani	Ground Floor, Shop no.1, Harmony House, Plot No.135/1A, 797/1 Bhandarkar Institute Road, Pune - 411004	9673945599	neelakhsik@vimalandsons.com
CEO (Partners)	Yashodhan Khare / Atul Khare	Ground Floor, Shop no.1, Harmony House, Plot No.135/1A, 797/1 Bhandarkar Institute Road, Pune - 411004	9673995599 9881145629	yashodhankhare@vimalandsons.com atulk@vimalandsons.com

ii. Names and contact details of all Key Managerial Personnel including the Compliance Officer-

Sr. No.	Name of the Individual	Designation	Mobile Number	Email Id
1	Yashodhan Khare	Partner	9673995599	yashodhankhare@vimalandsons.com
2	Atul Khare	Partner	9881145629	atulk@vimalandsons.com
3	Neelakshi Kshemkalyani	Compliance Officer	9673945599	neelakhsik@vimalandsons.com

iii.a) DETAILED WROTE-UP ON OPENING A TRADING ACCOUNT

- 1) The investor has to fill the Account opening form, complete the KYC formalities which includes filling KYC form, in-person verification and providing the required documents as per SEBI guidelines.
- 2) The investor is requested to go through the instructions / guidelines mentioned in the KYC form. Once the dully filled form along with documents is submitted, the same will be verified at our end. Original documents are required to be verified at the time of IPV. As such the investors are requested to keep ready their original documents for verification purpose.
- 3) PAN, AADHAR, Proof of Address, Contact Number, Email ID, Bank Details are mandatory details for opening the account. Copy of cancelled cheque / Latest Bank statement of Saving A/C and Transaction statement of demat account can be provided towards supporting of financial as well as demat bank details.
- 4) Once the verification procedure is done, the account is opened and UCC details are uploaded on NSE's UCC portal. At the same time, welcome letter is sent to client giving his details of registration. Copy of dos and donts is sent to client along with welcome letter.
- 5) Once the account is opened the client can execute a trade with sufficient margin balance prior to trade as per SEBI guidelines.
- 6) In case of any query or further assistance, we are here to help on 020 69024470 / 71 / 72 / 73. Or you can write to us on ganeshshipate@vimalandsons.com / neelakshik@vimalandsons.com.
- 7) We don't have own depository, as such we open a Trading Account only.



b) Grievance Redressal Mechanism

Level 1 – In case of any query / grievance please reach us at investorgrievance@vimalandsons.com. We will strive to redress the grievance immediately, but not later than 30 days of the receipt of the grievance.

Level 2 – In case the issue is not resolved at level 1, you can approach the concerned persons as per Escalation matrix provided above.

Level 3 – In case the query is not resolved at level 2, you can approach the Stock Exchange using the grievance mechanism mentioned at the website of the respective exchange. You can also refer Investor Charter on our website for the same.

iv. Details of Authorized Persons

List of Authorized Persons (AP)										
S r · N o .	Authorised Person's Name	Authorised Person Code (Exchange wise)	Constitut ion	Status	Registered Address				Terminal Details (Exchang e Wise)	
					(Approved / Cancelled)	Add	City	State	Pin Code	Termi nal Allott ed (Y/ N)
1	Gandhi Makarand Moreshwar	AP0972000011	Individual	Approved	D/406 Avaneesh Apartments, Paranjape Schemes Near Pinnac Memories S.NO.7/1,7/2, Kothrud	Pune	Maharashtra	411038	Y	1
2	Sandeep Hanamant Joshi	AP0972000021	Individual	Approved	Shop No 1Comm Plot 11, Sector 25, Kundan Heritage Bldg, Opp Sindhunagar Bus Stop Pradhikaran Nigdi	Pune	Maharashtra	411044	Y	2
3	Padmini P Kopardekar	AP0972000031	Individual	Approved	10, Shrinad Apartment, 2030 Sadashiv Peth, Tilak Road	Pune	Maharashtra	411030	N	0
4	Yogesh Vijay Kshirsagar	AP0972000041	Individual	Approved	8/A, Sneh Bunglow, Shivshakti Society, S.No. 135/5A/6B/8 A, Near Prashant Hall, Ex Serviceman Colony, Kothrud	Pune	Maharashtra	411038	N	0
5	Amod R Kulkarni	AP0972000051	Individual	Approved	Office No. 12, Suvarna Complex, Paud Road, S.No.	Pune	Maharashtra	411038	Y	1

					155/1A/1, Maruti Mandir, Kothrud					
6	Prachi Sandeep Lohade	AP0972000061	Individual	Approved	797/2 Dharamprem, Bhandarkar Institute Road, Deccan Gymkhana,	Pune	Mahar ashtra	411004	N	0
7	Rajkumar Chandulal Doshi	AP0972000071	Individual	Approved	5 Vishnuprasan na Heights, S.no.104/105, Near Nataraj Society, Karve Nagar,	Pune	Mahar ashtra	411052	N	0

List of Authorised Persons (AP) Cancelled by Members on Account of Disciplinary Reasons

Sr. No.	Authorised Person's Name	Status	Authorised Person Cancellation Details	
			Date	Reason
	NA	NA	NA	NA